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³ Tumor-associated macrophages promote cancer stem cell-like

- ⁴ properties via transforming growth factor-beta1-induced
- ⁵ epithelial–mesenchymal transition in hepatocellular carcinoma

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ABSTRACT

Tumor-associated macrophages (TAMs), a crucial component of immune cells infiltrated in tumor microenvironment, have been found to be associated with progression and metastasis of hepatocellular carcinoma (HCC). In this study, we aimed to clarify the mechanism underlying the crosstalk between TAMs and cancer stem cells (CSCs) in HCC. Mouse macrophage cell line RAW264.7 cells were used to investigate the effects of TAMs on mouse hepatoma cell line Hepa1-6 cells in vivo and vitro. A total of 90 clinical samples had pathology-proven HCC were used to evaluate the distribution of TAMs and CSCs and analyze their value in predicting the prognosis. In the study, we have found that the number of TAMs has a positive correlation with the density of CSCs in the marginal of human HCC. Our result show that, cocultured with TAM-conditioned medium (CM) promoted CSC-like properties in Hepa1-6 cells, which underwent EMT and gained higher invasive capability. TAMs secreted higher transforming growth factor- beta1 (TGF-beta1) than other phenotypes of macrophage. Furthermore, depletion of TGF-beta1 blocked acquisition of a CSC-like properties by inhibition of TGF-beta1-induced EMT. High expression of CD68 in the EpCAM positive expression HCC tissues was strongly associated with both poor cancer-free survival and overall survival in patients. Our results indicate that the TAMs promote CSC-like properties via TGF-beta1-induced EMT and they may contribute to investigate prognosis in HCC.

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49 Introduction

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Hepatocellular carcinoma (HCC) is one of the most common
 cancers in the world. And it is the third most common cause of can cer-related death in adults [19,26]. Although hepatic resection,
 liver transplantation and various of minimally invasive therapies

http://dx.doi.org/10.1016/j.canlet.2014.05.008 0304-3835/© 2014 Elsevier Ireland Ltd. All rights reserved. are widely used to improving outcomes of the patients of HCC. Due to recurrence and metastasis, the five-year survival of patients undergoing therapies still remains disappointingly low.

Cancer stem cells (CSCs) or cancer-initiating cells are defined as a small subpopulation of cancer cells with the ability of selfrenewed and pluripotency. Currently, many studies supports that CSCs, which have many features of stem cells, are responsible for the poor prognosis of patients by promoting tumor recurrence and metastasis [32,39]. Recent data suggest that CSCs rely on a specialized tumor microenvironment (TME) or niche [3]. However, the effect of TME on cancer cells stemness remains unclearly on HCC.

Macrophages could polarize into two functionally phenotype, classically activated M1 and alternatively activated M2, in response to different microenvironment. Macrophages infiltrated in tumor microenvironment (TME) are define as tumor-associated macrophages (TAMs), expressing the similar molecular and functional characteristic of M2 phenotype [1]. TAMs is a pivotal component of tumor-infiltrating immune cells which play a critical role in

Abbreviations: HCC, hepatocellular carcinoma; CSC, cancer stem cell; TAM, tumor-associated macrophages; TGF- β 1, transforming growth factor- β 1; EMT, epithelial to mesenchymal transition; CM, conditioned medium; TME, tumor microenvironment.

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regulating tumor growth and progression. At present, some studies about TAMs have showed that an increased density of TAMs were correlate with worse prognosis in many cancers [22,31]. And many evidences has revealed that TAMs promoted cancer cells to gain CSC-like properties [7], and EMT [2,18]. However, the role of TAMs promote CSC-like properties maintenance in HCC and the detailed mechanisms underlying this process was remain elusive.

Epithelial to mesenchymal transition (EMT) is a process epithelial tumor cells lose epithelial feather and gain mesenchymal phenotypes [34]. It is considered as the key step by which tumor cells gain the higher ability of invasive and metastatic. Tumor cells take advantage of EMT as an intermediary phenotype to achieve selfrenewal and adapt to microenvironments [15,28]. Transforming growth factor- β 1 (TGF- β 1) is one of the most important members of the transforming growth factor family. It is a potent inducer of epithelial plasticity leading to EMT in cancer cells [14,40]. Increasing studies point out the importance of TGF-B1 in cancer progression and metastasis. As reported, TGF-β1 released from the tumor microenvironment are essential to regulate CSC-like properties maintenance, differentiation and function in many epithelial cancers such as skin, gastrointestinal tract, and bladder [9,20].

93 In the study, we aim to analyze the role of TAMs in HCC progres-94 sion with focus on TGF-β1. We found that in HCC samples, the dis-95 tribution of TAMs correlated with the location of EpCAM⁺ CSC cells 96 at the edge of HCC. After treated with the supernatant from cul-97 tural TAMs, the hepatoma cells acquired CSC-like properties. And 98 the expression of EMT markers, the invasion ability and tumorige-99 nicity of these cells have been increased. As tested, TAMs produced 100 more TGF-β1 than other macrophage phenotype. Furthermore, 101 neutralization of TGF-b1 inhibited the process of the maintenance 102 of CSC-like phenotype by inhibition of TGF-_β1-induced EMT on 103 hepatoma cells. We demonstrated that TAMs promote gain of CSC phenotype by TGF-β1-induced EMT in hepatoma cells. 104

105 Materials and methods

106 Patients and specimens

From May 1999 to February 2007, 90 patients who underwent curative liver resection and pathology-proven HCC were examined in the study. Tumor stage was determined according to the 2009 International Union Against Cancer TNM Classification system (7th edition). The available characteristics of the patients are shown in Table 1. The study was approved by the Eastern Hepatobiliary Surgery Hospital Research Ethics Committee. All specimens were obtained from the archives of formalin-fixed, paraffin tissue blocks in the Department of Pathology.

Overall survival (OS) was defined as the period between the date of surgery and death or the date of last contact of living patients, Disease-free survival (DFS) was defined as the interval from the date of surgery to the first appearance of recurrence or death, whichever occurred first, or to the date of the last follow-up.

Table 1

Patient characteristic of 90 HCCs.

Variables	Value	Percent
Age	41.6 ± 22.4	
Gender (male/female)	81/9	90.0/10.0
HBsAg (positive/negative)	74/16	82.2/17.8
Cirrhosis (absent/present)	21/69	23.3/76.7
ALT (U/L)	45.9(1.0-234.9)	
AST (IU/L)	35.2(1-108.3)	
AFP (ng/ml)	267.2(1.0-1000.0)	
CEA (ng/ml)	4.2(0-58.2)	
CA19-9 (U/ml)	15.0(0-38.0)	
Tumor number (solitary/multiple)	23/67	25.6/74.4
Tumor size (≼5 cm/>5 cm)	38/52	42.2/57.8
Microvascular invasion (absent/present)	33/57	36.7/53.3
Majorvascular invasion (absent/present)	85/5	94.45.6
TNM stage (I + II + III + IV)	79/11	87.6/12.4
EpCAM (positive/negative)	38/52	42.2/57.8
CD68 (%)	1.72 ± 1.11	0.12-6.7

Cell cultures

119 The mouse hepatoma cell line Hepa1-6 cells were cultured in Dulbecco's mod-120 ified Eagle medium (DMEM, Gibco-BRL, Gaithersburg, MD, USA), mouse macrophage-like line RAW264.7 cells were maintained in Roswell Park Memorial 121 Institute-1640 medium (RPIM-1640, Gibco-BRL, Gaithersburg, MD, USA), Both of 122 them were supplemented with 10% fetal bovine serum(FBS), 100 units/ml penicillin 123 and 100 µg/ml streptomycin. Cells were incubated at 37 °C in a 95% humidified 124 125 atmosphere containing 5% CO₂.

Conditioned medium and ELISA

RAW264.7 cells were cultured in RPIM-1640 with 10% FBS with or without 20 ng/ml IL-4 for 24 h, then changed to serum-free medium for 24 h and collected supernatant. The TAM-CM was collected by centrifugation. TAM-CM was incubated with $1 \mu g/ml$ TGF- $\beta 1$ neutralizing antibody (mouse monoclonal anti-TGF- $\beta 1$; Abcam) for 24 h at 4 °C to obtain TGF- β 1-depleted TAM-CM. 5 × 10⁵ Hepa1-6 cells/well were pre-culture in 2 ml DMEM with 10% FBS in 6-well plate for 24 h. Then culture medium was replaced with 1 ml CM and 1 ml Complete Medium per well for 24 h. And the treated Hepa1-6 cells could be used in the subsequent trials.

20 ng/ml IL-4 and 100 ng/ml LPS plus 20 ng/ml IFN-γ was used to stimulate two types of macrophages for 24 h, respectively. Then the supernatants of RAW264.7 cells were centrifuged and collected. Amounts of TGF-B1 secreted by macrophage was measured using commercial ELISA kits (Shanghai Hengyuan Biotechnology Co., Ltd.), according to the manufacturer's instructions.

Mice and in vivo tumorigenicity experiments

Six-week-old Male BALB/c mice were purchased from Shanghai Experimental 143 Animal Center, Chinese academy of science and maintained in a specific pathogen-free environment. All mice were treated according to the Laboratory Animal Center care guidelines of the Second Military Medical University. Ten mice were 146 randomized into two groups. The suspensions of cells were injected subcutaneously into the left axilla at 1×10^6 cells/injection site. Mice were sacrificed by cervical dislocation 3 weeks after injection and tumor were isolated for analysis.

Immunohistochemistry and immunofluorescence staining

The protocol of immunohistochemistry (IHC) and immunofluorescence (IF) are 150 described elsewhere [4]. The staining was performed on formalin-fixed, paraffin tissue blocks of HCC, Rabbit anti-EpCAM (1:100: Epitomics, California). Mouse antihuman CD68 (1:100; DakoCytomation, Demark), Rabbit anti-F4/80(1:100; Abcam, Cambridge, UK), Rabbit anti-TGF-B1 (1:100; BioVision, California) were used as primary antibodies. For IHC, the components of the Envision-plus detection system ((EnVision/HRP/Mo; Dako, Carpinteria, CA) were used to detection. Reaction results 156 were shown by incubation with 3, 3'-Diaminobenzidine (DAB). Images were photographed with a microscope. CD68-positive areas in the photographs were measured by Image Pro Plus (IPP). Five fields of images per sample were taken, and the results 159 160 were expressed as CD68-positive area/total area. Negative controls were treated 161 identically but without the primary antibody. For IF, the secondary antibodies Alexa 162 Fluor 568-conjugated anti-mouse IgG and Alexa Fluor 488-conjugated anti-rat IgG (1:200; Invitrogen, Paisley, UK) were applied. The nuclear was stained by 4', 6-163 diamidino-2-phenylindole (DAPI, sigma, Saint Louis, USA). Images were captured 164 165 with a fluorescent microscope (Leica TCS SP2).

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Cell immunofluorescence
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About 5×10^4 cells per well were plated on 24-well culture dish and cultured in DMEM containing 10% FBS. Different treatments were given for 24 h after these cells were adherent. Washed twice, then the cells were fixed in 4% paraformaldehyde and 0.1% Triton \times 100 in PBS buffer at 4 °C for 30 min. After washed 3 times. the cells were blocked with 1% bovine serum albumin(BSA) in PBS at 37 °C for 30 min, followed by incubation with the primary antibodies Rabbit anti-E-cadherin (1:200; Cell Signaling technology, Boston, US) and anti-Vimentin (1:200; Abcam) overnight at 4 °C, washed 3 times with PBS and incubated with the Alexa Fluor 568-labeled secondary antibody (1:200; Invitrogen, Paisley, UK) for 30 min at 37 °C. Finally being stained with DAPI for 3 min. All matched samples were captured with a fluorescent microscope at identical exposure times.

Colony formation assay

179 About 3×10^2 Hepa1-6 cells per well were seeded into a 24-well culture dish. After incubated at 37 °C for two weeks, washed twice with PBS, the cells were stained with 0.1% crystal violet solution. The number of colonies containing \geq 50 cells was counted under a microscope. The experiments were performed in triplicate.

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Wound-healing assay

measured.

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Quantitative real-time polymerase chain reaction

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Total RNA extraction, complementary DNA (cDNA) synthesis, and qPCR were performed as described [4,36]. Total RNA from Hepa1-6 and RAW264.7 cells was extracted by Trizol (Invitrogen, Carlsbad, CA) according to the manufacture's protocol. Expression of mRNA was determined by real-time PCR using SYBR Green Master Mix (TaKaRa, Dalian, China). The results were normalized to expression of glyceral-203 204 dehyde-3-phosphatedehydrogenase (GAPDH). The primer sequences used in qPCR 205 are shown in Supplementary Table 1.

Western blot analysis

207 Western blot was performed as described previous [20,21]. The protein of 208 Hepa1-6 cells was extracted by RIPA Lysis Buffer (Beyotime, Haimen, China) with a protease inhibitors PMSF (Cwbiotech, Beijing, China) according to the manufac-209 210 turer's instructions. Primary antibodies were rabbit against E-cadherin (1:1000; Abcam), N-cadherin (1:500; Abcam), Vimentin (1:2000; Abcam), and Snail 211 (1:1000; Cell Signaling technology), GAPDH (1:5000; Bioworld Technology, Nan-212



Fig. 1. Presence of TAM correlates with CSC-like properties s in vivo and vitro of HCC (A). Immunofluorescence staining. The distribution of CD68⁺ macrophages (green) and EpCAM⁺ tumor cells (red) in the invasive front area of HCC. Nuclei were counterstained with DAPI (blue). (B) Linear regression revealed a positive correlation between the distributions of CD68* macrophages and EpCAM* tumor cells. The relationship between local distributions CD68* macrophages and EpCAM* tumor cells was determined under 20 fields of fluorescence microscopy where CD68⁺ cells and EpCAM⁺ cells were found within a distance of 100 µm from the invasive edge of HCC. (C) Most of CD68⁺ cells are expressing F4/80. F4/80 (green); CD68 (red); Nuclei (blue) (×400). (D) Colony formation assay was used to quantify the number of spheres of TAM-CM-treated Hepa1-6 cells and its control cells. (E) Expression of CSC transcriptional factors Bmi1 and Klf4 mRNA of Hepa1-6 cells was determined by RT-PCR. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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About 5×10^4 Hepa1-6 cells from different groups in 200 μ l of serum-free med-193 ium were seeded in the upper chamber. And 500 ml of medium supplemented with 194 10% FBS were added to the lower compartment. After incubated for 24 h, the cells 195 migrated to the lower surface of the membrane were fixed with 4% paraformalde-196 hyde, stained with 0.1% crystal violet and counted under a microscope. All these

The method for wound healing has been described [12]. About 5×10^4

Hepa1-6 cells were seeded in 24-well plates and incubated for 24 h, then the

monolayer cells were disrupted by scratching with a 10 µl microsterile pipette

tips. Photographs were taken at 0, 24 and 48 h in a phase-contrast microscope.

The assays were performed in triplicate, and four fields of each point were

197 samples were plated at three times.

Transwell Invasion assay

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jing, China) as control. These primary antibodies were detected with Goat poly clonal Secondary Antibody to Rabbit IgG (1:10,000; Abcam). Proteins were detected
 by ECL detection reagent.

216 Statistical analysis

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217Statistical analysis was performed with spss20.0. All date are shown as218mean \pm standard error of the mean (SEM). Difference between two groups was ana-219lyzed by unpaired Student's t test. For clinical samples, Kaplan–Meier curves anal-220ysis were performed to determine the survival. A P <0.05 was considered</td>221statistically significant.

222 Results

The relationship between the expression of macrophage marker CD68 and CSC marker EpCAM in the marginal area of HCC

TME plays a critical role for the maintenance and function of 225 226 CSCs. TAMs constitute a major cell population in TME. In order to assess the relation of TAM and HCC-CSCs, we performed immu-227 nofluorescence staining on the paraffin section of HCC specimens. 228 229 We found that presence of CD68⁺ macrophages has a correlation 230 with location of EpCAM⁺ CSCs in the marginal area of tumor (Fig. 1A). As shown in Fig. 1B, when the cells with a distance of 231 232 100 µm from the edge of tumor were taken into accounted, the 233 density of CD68⁺ macrophages showed positive correlation with 234 EpCAM⁺ CSCs. Whether or not these TAMs have effects on the 235 EpCAM⁺ CSCs remains elusive. In addition, F4/80 was also used 236 to identify these myeloids in tumor sections, most of the CD68⁺ 237 cells were F4/80⁺ as examined by immunofluorescence staining 238 (Fig. 1C). Our data indicate that these CD68⁺ TAMs obviously cor-239 related with the distribution of EpCAM⁺ CSCs in the invasion edge 240 of HCC.

TAMs enhance cancer stem cell-like properties

To investigate the role of TAMs in the regulation of CSCs activ-242 ities, the mouse hepatoma cell line Hepa1-6 cells was cocultured 243 with TAM-CM for 24 h. Then we tested the ability of Hepa1-6 cells 244 to form colonies in vitro. The TAM-CM-treated Hepa1-6 cells were 245 able to form more tumor spheres, compared with control cells 246 (Fig. 1D). Transcription regulation plays a key role in maintenance 247 of CSC-like properties. Two CSC transcriptional factors Bmi1 and 248 Klf4 of Hepa1-6 cells treated with TAM-CM was shown a higher 249 levels than control. The increase in Bmi1 and Klf4 levels in 250 Hepa1-6 cells of TAM-CM treatment was detected by real-time 251 PCR (Fig. 1E). As shown, TAMs could promote the acquisition of 252 CSC-like properties of Hepa1-6 cells. 253

TAMs induce EMT and promote invasive capability in Hepa1-6 cells 254

Cell Immunofluorescence was performed to show the expres-255 sion of EMT-related regulators. While Hepa1-6 cells normally 256 expressed a high level of E-cadherin and an undetectable level of 257 Vimentin, TAM-CM-treated cells shown significantly reduction 258 E-cadherin expression and increased Vimentin expression 259 (Fig. 2A). Western blot and RT-PCR were also used to analyze 260 EMT markers. As shown in Fig. 2B-C, the expression of epithelial 261 marker E-cadherin was reduced, while the mesenchymal markers 262 N-cadherin and Vimentin was up-regulation. Meanwhile, the tran-263 sition marker snail was also increased. Whether TAM-CM could 264 promote the invasive and migratory abilities of Hepa1-6 were 265 tested by transwell assay and wound-healing assay. Compared 266 with control, TAM-CM-treated Hepa1-6 cells had fast closure of 267 the wound. The result was confirmed by transwell assay 268 (Fig. 2D-E). 269



Fig. 2. TAM-CM promote EMT in Hepa1-6 cells. (A) Immunofluorescence staining for analysis EMT markers E-cadherin (red) and Vimentin (red) in TAM-CM treated Hepa1-6 cells and its control cells, nuclei were counterstained with DAPI. (B) Expression of EMT markers E-cadherin, N-cadherin, Vimentin and snail in TAM-CM treated Hepa1-6 cells and its control cells were analyzed by Western blotting and (C). RT-PCR. (D) Migration of TAM-CM treated Hepa1-6 cells and its control was measured by wound-healing assay ($\times 200$). (D) Invasion of TAM-CM treated Hepa1-6 cells and its control was measured by transwell ($\times 200$). (E) Migration of TAM-CM treated Hepa1-6 cells and its control was measured by wound-healing assay ($\times 200$). (F) TAM modulate tumorigenicity of Hepa1-6 cells in vivo. TAM-CM treated Hepa1-6 cells and its control were injected subcutaneously into mice to from tumors. The tumors of treatment group were larger and heavier than control *p < 0.05, **p < 0.01, ***p < 0.01, *

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Fig. 3. The high expression of TGF- β 1 in TAM. (A) Immunofluorescence staining, TGF- β 1 (green) was detected in the extracellular matrix of CD68 + macrophages (red), nuclei were stained with DAPI (blue) (×400). (B) TGF- β 1 secreted by RAW264.7 macrophages without stimulation (Naive) or stimulated with IFN- γ plus LPS (IFN- γ + LPS) or IL-4, and respectively. (C) TGF- β 1 mRNA level in RAW264.7 macrophages without stimulation (Naive) or stimulated with IFN- γ plus LPS (IFN- γ + LPS) or IL-4 *p < 0.05, **p < 0.01, ***p < 0.001, mean ± SEM. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

270 In addition, we adopted tumorigenicity experiments of BALB/c 271 mice to evaluate whether TAM regulated hepatoma cells in vivo. Suspension of TAM-CM treated Hepa1-6 cells and unpretreated 272 273 cells were injected subcutaneously into the left axilla of mice to 274 from tumors. The effects of TAM were shown by the volume and 275 weight of tumor. As result, the tumors of treatment group were lar-276 ger and heavier than control (Fig. 2F). Accordingly, TAM has a sig-277 nificant promotion on the growth of tumors in mice.

In summary, TAMs mediated EMT to upregulate cancer cells
stemness characteristic and promote migratory and invasive
behaviors in Hepa1-6 cells in vitro and vivo.

281 TGF-β1 expression in TAMs derived from murine macrophage-like line
 282 RAW2647 cells

TAMs exhibit an M2-like phenotype, which are characterized by high secretion of TGF- β 1 and IL-10, two most important cytokines [23,25]. TGF- β 1 has been previously accepted to play a major role in tumor progression and malignancy via mediating hepatocyte plasticity, EMT, secreting some proteins that act on the TME and so on [25]. A high expression of TGF- β 1 was detected in the microenvironment surrounding CD68⁺ TAMs in the tumor (Fig. 3A). To understand the mechanism by which TAM-CM promoted CSC-like properties of Hepa1-6 cells, TGF- β 1 secreted by different phenotypes of RAW264.7 macrophages and its mRNA level was measured by ELISA and RT-PCR. 100 ng/ml LPS plus 20 ng/ml IFN- γ were used to generate classically activated M1 macrophages. In contrast, alternatively activated M2 macrophages differentiated in response to IL-4. RAW264.7 cells treated with IL-4 have a high production of TGF- β 1 compared with cells treated with LPS plus IFN- γ (Fig. 3B–C). These results suggest that TAMs, derived from murine macrophage-like line RAW264.7 cells, has alternatively activated M2 phenotypes with a higher production of TGF- β 1.

TGF- β 1 neutralizing antibody inhibits the effect of TGF- β 1-induced EMT on stem cell-like behavior

Next, in order to determine whether TGF-β1 would have effects303on TAM-mediated stem-like characteristic of hepatoma cells, we304used TGF-β1 neutralizing antibody to deplete TGF-β1 in TAM-CM.305

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Fig. 4. Inhibition of TGF- β 1-induced EMT block Hapa1-6 cells to acquire CSC-like properties. (A) Colony formation assay was used to quantify the number of spheres of TGF- β 1 depleted TAM-CM-treated Hepa1-6 cells and TAM-CM-treated Hepa1-6 cells. (B) Real time PCR analysis of Bmi1 and Klf4 expression in Hepa1-6 cells treated by TGF- β 1 depleted TAM-CM or TAM-CM. (C) Expression of EMT markers E-cadherin, N-cadherin, Vimentin and snail in TGF- β 1 depleted TAM-CM-treated Hepa1-6 cells and its control cells were analyzed by Western blotting and (D) RT-PCR. (E) Invasion of TGF- β 1 depleted TAM-CM treated Hepa1-6 cells and its control was measured by transwell (×200). (F) Migration of TGF- β 1 depleted TAM-CM treated Hepa1-6 cells and its control was measured by wound-healing assay "p < 0.05, "p < 0.001, mean ± SEM.

As shown in Fig. 4A, after applied TGF- β 1 neutralizing antibody in TAM-CM, the colony formation ability of hepa1-6 cells was significantly lower than the cells treated with TAM-CM. And compared to TAM-CM treated, CSC transcriptional factors Bmi1 and Klf4 levels were also decreased in Hepa1-6 cells cocultured with TGF-β1310depleted TAM-CM (Fig. 4B). Consistently, the expression of epithelial311marker E-cadherin was increased, while the mesenchymal markers312N-cadherin, Vimentin and transition markers snail were decreased313

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Fig. 5. Immunohistochemistry in HCC tissues and its prognostic implication. (A) Photographs of immunohistochemistry of epithelial cell adhesion molecule (EpCAM) in tissue microarrays, the left one showed high density of staining, the right one showed low density of staining (\times 200). (B) Photographs of immunohistochemistry of CD68 in tissue microarrays, the left one showed high density of staining, the right one showed low density of staining (\times 200). (C) Kaplan–Meier analyses of patients in the high-EpCAM expression group and in the low-EpCAM expression group. (D) In the high-EpCAM expression group, Kaplan–Meier analyses of patients in the high-CD68 expression group and in the low-CD68 expression group.

in Hepa1-6 cells cocultured with TGF-β1 depleted TAM-CM
(Fig. 4C-D). The depletion of TGF-β1 in TAM-CM decreased migratory (Fig. 4F) and invasive capacities (Fig. 4E) of hepa1-6 cells in vitro.

Collectively, the results presumably suggest that depletion of 317TGF- β 1 block the effect of TAM-induced EMT on promotion of 318the CSC-like properties in Hepa1-6 cells. 319

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320 Immunohistochemistry characteristic and prognostic analyses

321 Finally, in order to evaluate prognostic value of TAM in HCC 322 patients, we collected tissue samples from 90 patients with HCC. 323 Positive EpCAM expression was detected in 38 out of 90 HCC cases 324 (42.2%), showing predominantly membrane and cytoplasm stain-325 ing. The average levels expression of EpCAM and CD68 staining of these samples are shown in Fig. 5A and B. Our date showed that 326 327 the positive expression of EpCAM were independent prognostic factors for both OS and DFS (Fig. 5C and D). These EpCAM⁺ expres-328 sion samples were equally divided in two groups according to their 329 330 expression of CD68 (median of 1.31%, ranged from 0.12% to 5.4%), the median value of the density of CD68 was defined as the cutoff 331 of subgroups. In EpCAM⁺ samples the DFS and OS rates for patients 332 333 in the low-CD68 expression group were significantly higher than 334 the DFS and OS rates for patients in the high-CD68 expression 335 group (Fig. 5E and F). These results demonstrated that high density 336 of TAM would be a risk factor in EpCAM⁺ sample of HCC. The com-337 bination of CSC and TAM density had a better power to predict 338 patients' outcomes.

339 Discussion

The critical role of the TME in modulating tumorigenesis, EMT, 340 341 tumor invasion and metastasis has been widely accepted. TME is 342 consisted of stromal cells, including carcinoma-associated fibro-343 blasts (CAFs), mesenchymal cells, endothelial cells and various of 344 immune cells [29]. As a main component of tumor-infiltrating leu-345 kocyte, TAMs play a decisive role in tumor progression through the 346 expression of cytokines, chemokines, growth factors, and matrix 347 metalloproteases [1,6]. However, a better understanding of underlying mechanism of TAMs regulate tumor initiation and develop-348 349 ment was needed.

350 Previous papers have reported that, tumor infiltrating macro-351 phages in invasive margin of cancer could be useful as a prognostic 352 marker [10,36]. In our study, by examining the HCC tissue samples, 353 we found the density of marginal CD68⁺ TAMs had a positive corre-354 lation with EpCAM⁺ HCC–CSCs (Fig. 1A–C). Several evidences show 355 that EpCAM-positive tumor cells in HCC appear to be stem-like cells 356 and significantly shorter survival [30,33]. CD68, a highly glycosyl-357 ated lysosomal membrane protein, which is expressed strongly in 358 cytoplasmic of macrophages and monocytes, was frequently used as a marker to identify TAMs in several studies [16,41]. 359

360 Several studies showed that, in the tumor microenvironment, TAMs are polarized to M2 phenotype and promote cancer cell 361 362 growth, invasion, and metastasis [24]. Here we used the M2 mac-363 rophages, derived from RAW264.7, as a substitute to explore the 364 impact of TAMs on the hepatoma cells. After incubated with 365 TAM-CM, Hepa1-6 cells enhanced CSC-like properties. CSCs repre-366 sent a cell population with biological characteristics of stemness, 367 such as self-renewal capability, stem cell signaling pathways, generate progeny cells, resistance to chemotherapy and radiotherapy. 368 Both Bmi1 and Klf4 are transcriptional factors which are critical for 369 370 maintaining stem cell -like features and promoting cell migration 371 and invasion [35,38]. In this study, Bmi1 and Klf4 expression in Hepa1-6 cells treated with TAM-CM were increased (Fig. 1E). 372 373 And it has a higher rate of colony formation than control (Fig. 1D).

374 As shown in the study, Hepa1-6 cells treated by TAM-CM under-375 went epithelial to mesenchymal transition (EMT) (Fig. 2A-C). And 376 its abilities of migration and invasion were increased (Fig. 2D and 377 E). We also determined weather TAM has effect on hepatoma cells 378 in vivo by tumorigenicity experiments of mice (Fig. 2F). EMT is a key 379 process of the cancer cells dissemination and metastasis [5]. 380 Moreover, as reported, cells that have undergone an EMT behave 381 in many respects similar to cancer stem cells [21]. The loss of 382 epithelial E-cadherin and the gain of mesenchymal N-cadherin

expression is a major hallmark of EMT. N-cadherin is able to induce the mesenchymal phenotype. Vimentin is one of the type III intermediate filament protein family that is normally found in mesenchymal cells [27]. And EMT is modulated by several transcription factors, such as Snail, Twist [13,17]. These mesenchymal markers and transcription markers were upregulated in Hepa1-6 cells incubated with TAM-CM, while the expression of E-cadherin, the major component of epithelial adherens junctions [37], was downregulation. These results indicate that TAMs promote CSC-like properties of HCC by EMT.

Recent reports have stated that TAMs contribute to promote of self-renewal and maintain of CSC-like properties by several of growth and other factors [11]. TGF-β1 serves as a central regulator among inflammation and HCC [8]. Accumulating evidence has demonstrated that TGF- β 1 may contribute to impaired normally differentiation of stem cells and allow for the development of cancers. It is known that TGF-B1 is considered as a potent EMT inducer in cancer. And TAMs promote tumor progression through TGF-B signaling to induce EMT in intratumoral cancer cells [2]. Immunofluorescence staining shows that CD68 was found in the area where TGF- β has a high expression in the tumor (Fig. 3A). Consistent with these finding, we detected TGF-β1 produced by different RAW264.7 macrophage phenotypes. And TGF-β1 secreted by RAW264.7 macrophages treated with IL-4 was great higher than treated with or without LPS plus IFN- γ . We can distinguish the TAMs and M1 macrophage by the level of TGF- β 1 in protein and mRNA (Fig. 3B and C).

We hypothesized that TAMs has effect on the CSC-like properties and of HCC by TGF- β 1 pathway. Here TGF- β 1 neutralizing antibody has been used to immunodeplete TGF- β 1 in TAM- CM. we showed that the upregulation of TGF- β 1 on EMT was suppressed (Fig. 4C and D). Furthermore, we found that depletion of TGF- β 1 block its effect on promotion of stem-like characteristics. The ability of colony formation was downregulation, and the expression of Bmi1 and Klf4 were decreased in mRNA (Fig. 4A and B). Meanwhile, the abilities of migration and invasion were inhibition (Fig. 4E and F).

In the present study, we demonstrated that in tumor tissue, the 419 positive expression of EpCAM was associated with poor survival 420 after resection of primary tumor (Fig. 5A, C and D), as reported pre-421 viously by others. Among these EpCAM⁺ sample, the high expres-422 sion of CD68 indicated a worse outcome than those low-CD68 423 expression patients (Fig. 5B, E and F). In accord with our study 424 in vitro and in vivo, TAMs would promote cancer stem cell activi-425 ties maintained by TGF-β1-induced EMT. Therefore, we propose 426 that the TME is important in understanding the mechanism of 427 recurrence and metastasis of HCC. 428

Collectively, stem-like properties is characteristic of tumor cells 429 to migration, invasion and form new tumor in distant area. Our 430 study demonstrated that TAMs regulate the essential characteristic 431 of tumor cells through TGF-β1 signaling pathway. M1 phenotype 432 are tumoricidal, which play an important role anti-tumor 433 responses of immune system [24]. To explore effective methods 434 to inhibit tumor-infiltrating macrophages polarized into M2 phe-435 notype, even promote it into anti-tumor M1, may new counter-436 measures to improve HCC outcome. Meanwhile, the therapeutic 437 strategies against TGF-B1 pathway are also valuable to be develop-438 ment for HCC Therapy. 439

Conflict of Interest

The authors have declared that no competing interests exist.

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Appendix A. Supplementary material 454

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